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# Formation potentials of typical disinfection byproducts and changes of genotoxicity for chlorinated tertiary effluent pretreated by ozone

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#### Abstract

The effects of ozonation on the formation potential of typical disinfection byproducts (DBPs) and the changes of genotoxicity during post chlorination of tertiary effluent from a sewage treatment plant were investigated. Ozonation enhanced the yields of all detected chlorine DBPs except CHCl<sub>3</sub>. At a chlorine dose of 5 mg/L, the three brominated THMs and five HAAs increased, while chloroform decreased with the increase of ozone dose from 0 to 10 mg/L (ozone dose in consumption base). At a chlorine dose of 10 mg/L, the two mixed bromochloro species THMs and two dominant HAAs (DCAA and TCAA) increased firstly and then decreased with the increase of ozone dose, with the turning point approximately occurring at an ozone dose of 5 mg/L. The genotoxicity detected using umu test, on the other hand, was removed from 7  $\mu$ g 4-NQO/L to a negligible level by ozonation under an ozone dose of 5 mg/L. Chlorination could further remove the genotoxicity to some extent. It was found that SUVA (UV absorbance divided by DOC concentration) might be used as an indicative parameter for monitoring the removal of genotoxicity during the oxidation.

**Key words**: wastewater reclamation; ozonation; chlorination; disinfection byproducts; genotoxicity **DOI**: 10.1016/S1001-0742(08)62284-6

# Introduction

With the increasing demand of water resource, reclamation and reuse of municipal wastewater is becoming more and more attractive. Recently, there is an increasing interest in adopting ozone in wastewater reclamation treatment to upgrade effluents for the purposes of decolorization, removal of odor and decomposition of micropollutants (Takahara *et al.*, 2006). Although ozone acts as an effective disinfectant, post chlorination is generally required to control microbial re-growth in distribution systems since ozone cannot produce a persistent disinfectant residual (Singer, 1994). In China, it is required that the total residual chlorine should not be less than 0.2 mg/L in the distribution terminals.

Tertiary treatment including the combination of coagulation and sand filtration is usually adopted for the reclamation of the secondary effluent from municipal wastewater. The tertiary effluent, however, still contains high concentration of organic compounds, which could act as precursors of chlorine disinfection byproducts (DBPs). Formation and release of DBPs during water treatment has long been a big concern because of their harmful effects on human health. Ozonation was applied in some waterworks to remove the chlorinated DBP precursors as a

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pretreatment method (Nissinen *et al.*, 2002). The decreases of THM and THAA formation during free chlorination of pre-ozonated water were observed (Chang *et al.*, 2002, Guay *et al.*, 2005). Although ozonation could change the structures of organic compounds in wastewater effluents, and even reduce the contents of organics, the effects of ozonation on the formation of DBPs and the toxicity of the reclaimed wastewater have not been well understood.

The aim of this study was to investigate the effect of ozonation on the formation of typical DBPs, as well as genotoxic potential in the following chlorination stage. The application of umu test was used to monitor the changes of the genotoxic potential.

## 1 Materials and methods

#### 1.1 Sampling collection and characterization

The effluent used in this work was obtained from a reclamation plant in Beijing, which adopts a tertiary treatment including coagulation, sediment and sand filtration after traditional activated sludge treatment. One hundred liter tertiary effluent was taken directly from the reclamation system before chlorine disinfection, transferred to the laboratory and kept at 4°C for further treatments and analyses.

DOC, UV<sub>254</sub> and bromide were measured with a TOC

analyzer (Phoenix 8000, Tekmar-Dohrmann, USA), a UV3100 (Hitachi, Japan) and an ionic chromatograph (Dionex ICS1500, USA), respectively.  $COD_{Mn}$  and ammonia nitrogen (NH<sub>3</sub>-N) were determined according to the Standard Methods of Water and Wastewater Monitoring of China. Free chlorine and total chlorine were measured using "Hach Colorimeter TMII for chlorin" (Hach, USA).

The major physico-chemical properties of the tertiary effluent are as follows: pH 7.2 l;  $COD_{Mn}$  9.1 mg/L; DOC 6.7 mg/L;  $UV_{254}$  11.7 m<sup>-1</sup>; NH<sub>3</sub>-N 0.6 mg/L; Br<sup>-</sup> 0.7 mg/L; SS 5 mg/L.

#### 1.2 Ozonation and chlorination experiments

Batch ozonation experiment was conducted in a glass column ( $\emptyset$ 60 mm × 1.5 m) as shown in Fig. 1. The inlet and outlet ozone concentrations were monitored by a UV ozone monitor (Hare EG-600, Ebara Jitsugyo, Japan). Ozone gas was introduced into the reactor through a gas diffuser from the bottom after the reactor was full with the tertiary effluent. Ozone dose in consumption base ( $C_C$ ) was calculated according to Eq. (1)

$$C_{\rm C} = \frac{(C_{\rm I} - C_{\rm O}) \times F \times T}{V_{\rm W}} \tag{1}$$

where,  $C_{\rm I}$  (mg/L) is inlet ozone concentration;  $C_{\rm O}$  (mg/L) is outlet ozone concentration; F (L/min) is gas flowrate, T (min) is reaction time, and  $V_{\rm W}$  (L) is volume of water samples.

For chlorination, a given volume of stock chlorine solution (free chlorine concentration 60 g/L) was added to 1.2 L water samples treated with different ozone doses, following the addition of 20 mL buffer solutions (0.25 mol/L KH<sub>2</sub>PO<sub>4</sub> and 0.25 mol/L Na<sub>2</sub>HPO<sub>4</sub>). After slowly shaking for 1 h, the samples were stored headspace-free at ambient temperature in the dark for 72 h. A 0.025-mol/L sodium sulfite was then added to the solution to eliminate residual chlorine.

#### **1.3 Chlorination DBPs analysis**

Four trihalomethanes (THMs), i.e., chloroform (CHCl<sub>3</sub>), bromodichloromethane (CHCl<sub>2</sub>Br), dibromochloromethane (CHClBr<sub>2</sub>) and bromoform (CHBr<sub>3</sub>) were analyzed on a gas chromatograph (6890N, Agilent, USA)

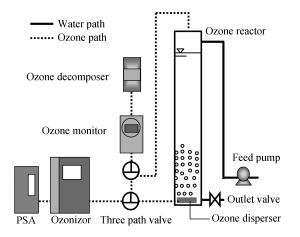


Fig. 1 Schematic diagram of experimental system.

equipped with an HP-5 fused silica capillary column (0.25 mm  $\times$  30 m) and an electron capture detector (ECD) following liquid/liquid extraction with hexane (HPLC grade, Fisher Chemicals, USA), in accordance with USEPA Method 551.1 (USEPA, 1995). Five haloacetic acids (HAAs), i.e., monochloroacetic acid (MCAA), monobromoacetic acid (MBAA), dichloroacetic acid (DCAA), dibromoroacetic acid (DBAA) and trichloroacetic acid (TCAA), were analyzed on the gas chromatograph using 1,2-dibromopropane as the internal standard, following liquid/liquid extraction with methyl-*t*-butyl-ether (MTBE, HPLC grade, Fisher Chemicals, USA) and derivatization with acidic methanol (HPLC grade, Fisher Chemicals, USA) according to USEPA Method 552.3 (USEPA, 2003).

The analytical standards for HAAs were purchased from Acros Organics (Belgium), and THMs and internal standards were obtained from Accustandard Inc. (USA).

### 1.4 Genotoxicity test

Water samples for genotoxicity tests were filtered through GF/C membranes (Whatman, UK) without pH adjustment, and then passed through Oasis HLB solid extraction cartridges (6 mL 500 mg, Waters, USA). The cartridges had been conditioned beforehand with 10 mL of methanol (HPLC grade, Fisher Chemicals, USA) and 10 mL of distilled water. One liter of each sample was loaded to one cartridge, then dried under a nitrogen flow and eluted with 6 mL of methanol. The eluate was then dried under nitrogen flow. The dried residues were reconstituted with 100  $\mu$ L dimethylsulfoxide (DMSO, Ameresco, USA) and stored in the dark at –20°C before use.

The genotoxicity was determined with the SOS/Umu bioassay (ISO 13829, 2000) using *Salmonella typhimuri-um* strain TA 1535/pSK1002. The test strain was provided by the Osaka Prefectural Institute of Public Health, Japan. In this strain, the multicopy plasmid pSK 1002 bearing an *umuC/lacZ* gene fusion product was introduced into *Salmonella typhimurium* TA1535, and the umu operon was genetically regulated by the SOS genes *recA* and *lexA*. The detailed test procedure was described previously by Hu *et al.* (2007). In this assay, 4-nitroquinoline oxide (4-NQO) was used as the positive reference and DMSO as the negative control. The genotoxicity was standarlized to the equivalence to 4-NQO (g 4-NQO/L).

### 2 Results and discussion

# 2.1 Effect of ozonation on the formation potentials of typical DBPs

Figure 2 shows the effects of ozonation dose on the formation potentials of THMs and HAAs. The dominant THM was CHCl<sub>3</sub>, and the dominant HAAs were DCAA and TCAA before ozonation. Ozonation resulted in an increase in the formation potentials of all the detected DBPs except for CHCl<sub>3</sub>. At a chlorine dosage of 5 mg/L, CHCl<sub>3</sub> decreased from 51.2 to 37.1  $\mu$ g/L with an ozone dose increasing from 0 to 10 mg/L, while the total brominated

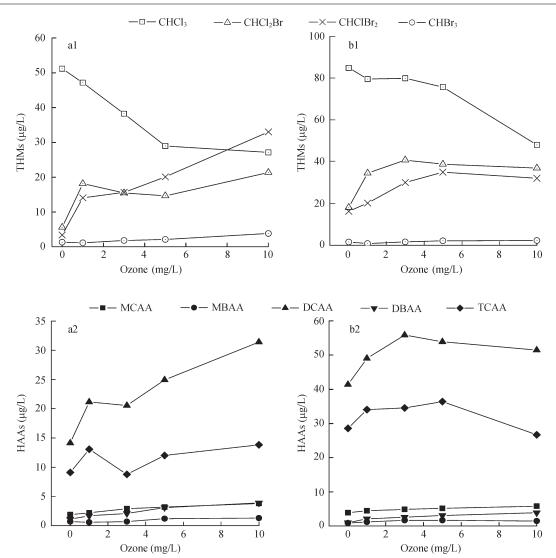


Fig. 2 Effect of ozonation dosage on formation potentials of THMs and HAAs. Chlorine: (a) 5 mg/L; (b) 10 mg/L.

THMs increased from 10.3 to  $58.2 \,\mu$ g/L, which was mainly contributed by gains of CHCl<sub>2</sub>Br and CHClBr<sub>2</sub>. Table 1 presents fraction variations of brominated THMs and HAAs as well as DXAAs. Ozonation apparently enhanced the formation of brominated THMs and HAAs. It is generally agreed that DXAA and the brominated HAAs create greater public health concerns (Bull and Angelo, 1995; Diehl *et al.*, 2000). THMs speciation gradually shifts from chlorinated species to mixed bromochloro species with increasing ozone concentration, while only a slight increase of CHBr<sub>3</sub> was detected. The increase of brominated HAAs was less significant than that of THMs. The patterns of

 
 Table 1
 Fractions of brominated disinfection byproducts (DBPs) and dihaloacetic acid (DXAA)

	Chlorine (mg/L)	Ozone (mg/L)				
		0	1	3	5	10
Brominated THMs	5	17	41	46	56	61
Brominated HAAs	5	7	7	9	11	11
DXAA	5	60	63	71	68	70
Brominated THMs	10	30	41	48	50	56
Brominated HAAs	10	2	3	5	5	7
DXAA	10	59	56	62	63	67

the DBPs formation were different in two applied chlorine dosages. At a low chlorine dose (5 mg/L), the three brominated THMs and five detected HAAs increased with increasing ozone concentration. However, as the chlorine dosage was 10 mg/L, two bromochlorined THMs and two dominant HAAs, DCAA and TCAA, increased firstly and then decreased, while CHBr<sub>3</sub>, MBAA and TBAA slightly increased with the increase of ozone dose.

Hypobromite could be formed during free chlorination in the presence of bromide ions (Von Gunten and Hoigné, 1996), which is the reason why brominated DBPs could be formed during chlorination. Bromide in water is readily incorporated into HAAs in the form of organically bound bromine during chlorination (Qi *et al.*, 2004). On the other hand, it is well known that bromide could be rapidly oxidized to hypobromite by ozonation (Urs von Gunten, 2003). Although the hypobromite concentrations were not monitored, it is speculated that hypobromite might be formed during ozonation since the bromide concentration is as high as 0.7 mg/L. Previous studies indicate that the reactions of organic compounds with HBrO/BrO<sup>-</sup> are faster than those with HClO/ClO<sup>-</sup> (Symons *et al.*, 1996). It is reasonable that the brominated DBPs increased with

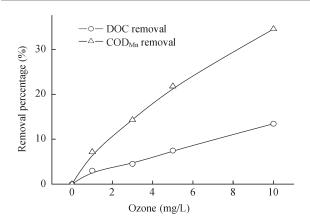


Fig. 3 DOC and  $\text{COD}_{Mn}$  removal during ozonation.

the increase of ozone dose.

The tertiary effluent contains a relatively high concentration of organic compounds. The removals of COD<sub>Mn</sub> and DOC during ozonation are shown in Fig. 3. Along with the ozonation dose increasing from 1 to 10 mg/L, the  $COD_{Mn}$  removal steadily increased from 7% to 35%, and a relatively low DOC removal was observed, indicating that ozone has effectively removed reductive compounds from the effluent. The decreased levels of reductive compounds in the ozonated effluents might have led to the reservation of more free chlorine, which could be used for the production of DBPs. According to Cowman and Singer (1996), further halogenation of DCAA to form TCAA occurs only if excessive free chlorine is available. Therefore, the increasing trend of TCAA with increasing ozone dose might be related with the increased level of residual chlorine. On the other hand, ozonation might have transformed aquatic organics into some more active molecules, thereby leading to the increase of some THMs and HAAs.

# 2.2 Changes of genotoxicity during the ozonation and chlorination

The genotoxicity, which was standardized to an equivalent 4-NQO concentration, was determined for the treated samples by the umu assay. As shown in Fig. 4, ozonation at 1 mg  $O_3/L$  reduced the genotoxicity from 7.0 to 4.2 µg 4-

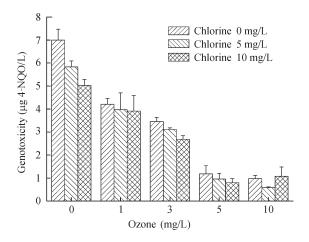
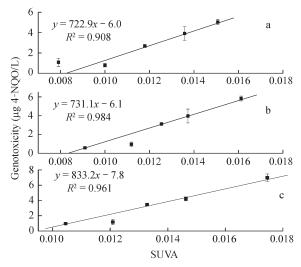


Fig. 4 Genotoxicity changes during the ozonation and post chlorination.

NQO/L, and further decrease of genotoxicity was observed with ozone dose increased. When the ozone dose was increased to 5 mg/L, the genotoxicity decreased to a level as low as the negative control. It is clear that ozonation was very effective in removing the genotoxicity from water. The genotoxicity of samples after chlorination decreased, even ozone enhance the formation of DPBs. The genotoxicity removals were 17% and 28% at a chlorine dose of 5 and 10 mg/L, respectively, without ozonation, although marked production of THMs and HAAs was detected. It is possible that the chlorinated/brominated DBPs only made limited contribution to the genotoxicity.

SUVA (UV absorbance divided by DOC concentration) was a parameter indicating the quantity of unsaturated bonds and aromatic organic compound present in organic matter (Goel *et al.*, 1995). Hence the SUVA is helpful to understand the characteristics of organic genotoxic precursors. SUVA removal steadily increased with the increase of ozone dose in the investigated range. As shown in Fig. 5, the decrease of SUVA correlated well with the removal of genotoxicity during the oxdiation. Hence SUVA could possibly be used as a surrogate indicator for the removal of genotoxicity during ozonation.



**Fig. 5** Relationship between genotoxicity and SUVA during the oxidation. Chlorine: (a) 0; (b) 5 mg/L; (c) 10 mg/L.

# **3** Conclusions

Ozonation of tertiary effluent enhanced the formation of typical chlorination DBPs, and favored the shift of DBPs from chlorinated species to brominated ones. The promotion of brominated THMs formation induced by ozonation was more significant than that of brominated HAAs. However, the genotoxic effects before and after chlorination decreased with the increase of ozone dose. When chlorine dosage was 5 mg/L the genotoxicity removal level was as low as control. Decrease of SUVA correlated well with the genotoxicity removal during the pre-ozonation, thus SUVA could possibly used as a surrogate indicator for the removal of genotoxicity during ozonation.

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