

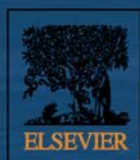
# JES

JOURNAL OF  
ENVIRONMENTAL  
SCIENCES

January 1, 2015 Volume 27  
[www.jesc.ac.cn](http://www.jesc.ac.cn)

ISSN 1001-0742  
CN 11-2629/X

## Could wastewater analysis be a useful tool for China?



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# Chemical and optical properties of aerosols and their interrelationship in winter in the megacity Shanghai of China

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## ARTICLE INFO

### Article history:

Received 19 February 2014

Revised 7 April 2014

Accepted 11 April 2014

Available online 24 November 2014

### Keywords:

Optical properties

Diurnal variations

Chemical apportionment

Shanghai

## ABSTRACT

A field campaign on air quality was carried out in Shanghai in winter of 2012. The concentrations of NO, NO<sub>2</sub>, NO<sub>x</sub>, SO<sub>2</sub>, CO, and PM<sub>2.5</sub> increased during haze formation. The average masses of SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> were 10.3, 11.7 and 6.7 μg/m<sup>3</sup> during the haze episodes, which exceeded the average (9.2, 7.9, and 3.4 μg/m<sup>3</sup>) of these components in the non-haze days. The mean values for the aerosol scattering coefficient (*b<sub>sp</sub>*), aerosol absorption coefficient (*b<sub>ap</sub>*) and single scattering albedo (SSA) were 288.7, 27.7 and 0.91 Mm<sup>-1</sup>, respectively. A bi-peak distribution was observed for the mass concentrations of CO, NO, NO<sub>2</sub>, and NO<sub>x</sub>. More sulfate was produced during daytime than that in the evening due to photochemical reactions. The mass concentration of NH<sub>4</sub><sup>+</sup> achieved a small peak at noontime. NO<sub>3</sub><sup>-</sup> showed lower concentrations in the afternoon and higher concentrations in the early morning. There were obvious bi-peak diurnal patterns for *b<sub>sp</sub>* and *b<sub>ap</sub>* as well as SSA. *b<sub>sp</sub>* and *b<sub>ap</sub>* showed a positive correlation with PM<sub>2.5</sub> mass concentration. (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, NH<sub>4</sub>NO<sub>3</sub>, organic mass, elemental carbon and coarse mass accounted for 21.7%, 19.3%, 31.0%, 9.3% and 12.3% of the total extinction coefficient during non-haze days, and 25.6%, 24.3%, 30.1%, 8.1% and 8.2% during hazy days. Organic matter was the largest contributor to light extinction. The contribution proportions of ammonium sulfate and ammonium nitrate to light extinction were significantly higher during the hazy time than during the non-haze days.

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## Introduction

Aerosols, consisting of liquid and solid particles suspended in the air, are important components in the atmosphere. Airborne aerosols play a significant role in visibility (Watson, 2002; Liu et al., 2013a), regional air quality (Han et al., 2014a, 2014b, 2014c; Liu et al., 2013b), and climate change (Liu et al., 2012). Chemical components in the aerosols, such as sulfate, nitrate, ammonium, black carbon (BC), particulate organic matter (POM), and other chemical species, can scatter and absorb the incident light and therefore lead to atmospheric dimming and horizontal visibility degradation (Liu et al., 2008; Jung et al., 2009). Several key aerosol optical properties (AOPs), including the atmospheric aerosol burden, single scattering albedo ( $\omega$ ), upscatter fraction and the mass scattering and absorption efficiencies, must be measured or estimated in order to evaluate the local or regional climate effect of aerosols (Chýlek and Wong 1995; Liu et al., 2012). To fully understand the aerosol optical properties, extensive sets of both in situ and remote measurements are required (Alados-Arboledas et al., 2008). Consequently, several observation networks of aerosol optical properties have been established internationally to observe aerosol optical characterization such as AERONET (AErosol RObotic NETwork), SKYNET (SKY Network), AEROCAN (Canadian Sunphotometer Network), RIMA (Red Ibérica de Medida de Aerosoles), AGSNet (Aerosol Ground Station Network) and so on (Holben et al., 1998; Goloub et al., 2008; Uchiyama et al., 2005; Bokoye et al., 2001; Campanelli et al., 2007; O'Brien and Mitchell, 2003).

Along with rapid economic growth and urbanization, the megacities in China are experiencing severe air pollution problems (Chan and Yao, 2008; Liu et al., 2013b; Han et al., 2014c). The annual average of  $PM_{10}$  (particulate matter with diameter smaller than  $10\ \mu m$ ) concentrations in 113 key cities in China was  $82\ \mu g/m^3$ , which is about 4–6 times that in the developed countries (Wang and Hao, 2012). Visibility degradation caused by enhanced aerosol concentrations has become a pervasive phenomenon in the regions with dense population and fast industrialization (Wang et al., 2009; Liu et al., 2013b). Sulfate, nitrate, ammonium, POM, and BC have been identified as the major chemical components in fine particles in Chinese megacities and contribute over 90% of the extinction coefficient (Cao et al., 2012; Wang et al., 2012; Zhang et al., 2012a; Han et al., 2014a, 2014b, 2014c). In addition, previous studies showed that sulfate and organic mass are the main chemical components contributing to the light extinction and visibility degradation (Cheung et al., 2005; Yang et al., 2007; Tao et al., 2009; Liu et al., 2012). Huang et al. (2012a) investigated the proportion of chemical components in  $PM_{1.0}$  in Shanghai during 15 May to 10 June 2010 and reported that sulfate was the most abundant component (on average accounting for 33.3% of the total mass) followed by OM (28.7%), nitrate (16.3%), ammonium (13.4%), BC (6.7%), and chloride (1.6%). Many articles have been dedicated to aerosol optical properties and their variation measured at worldwide locations (Bergin et al., 2001; Formenti et al., 2002; Andreae et al., 2008; Jung et al., 2009; Lyamani et al., 2008; Liu et al., 2013b). Chan et al. (1999) calculated the contribution of chemical species to aerosol scattering and absorption. Liu et al. (2009) focused on particle hygroscopic influences on aerosol scattering and absorbing processes. There have been many papers focused on the characteristics of chemical compositions or the optical properties of aerosol alone, while papers about the relationship between chemical compositions and optical properties of aerosol are very limited in China. Thus, it is necessary to study the characteristics of aerosol optical properties and the associated chemical apportionments to understand the causes of visibility degradation.

In this article, we described the characteristics of gaseous pollutants and water-soluble inorganic ions, and then analyzed

their diurnal variation. Secondly, we presented intensive measurements of aerosol optical properties such as aerosol scattering and absorption coefficients and SSA (single scattering albedo) in downtown Shanghai, and then analyzed their diurnal variation. Finally, the correlation between aerosol optical parameters and chemical compositions was investigated.

## 1. Experiment

### 1.1. Experimental site

Shanghai, with a population of over 20 million, is the largest commercial and industrial city in China, as well as a famous mega-city in the world. The gross domestic product (GDP) in 2012 exceeded 312 billion U.S. dollars with an annual growth rate of 7.5% (<http://www.stats-sh.gov.cn/>). Furthermore, the total energy consumption was 112.7 million tons of coal equivalents (TCE) by the end of 2011. Shanghai had about 3.3 million cars, with an annual increase of 6.3%. (<http://www.stats-sh.gov.cn/data/toTjnj.shtml?y=2012>). High degrees of industrial and metropolitan emissions had unavoidably resulted in heavy emission of air pollutants in Shanghai. Field measurements in an urban site of Shanghai were carried out from December 1 to 31, 2012 in the yard of Shanghai Academy of Environmental Sciences ( $31.17^\circ N$ ,  $121.43^\circ E$ ), which is located in the southwest of urban Shanghai and is about 8 km away from the city center. The sample inlet was on the roof of a 5-floor building ( $\sim 15\ m$  above the ground). There were no buildings blocking observations at this height and the air mass could smoothly flow through the area.

### 1.2. Instrumentation and measurements

Instruments used in this study are listed in Table 1. Mass concentrations of  $PM_{10}$  and  $PM_{2.5}$  were measured by particulate monitors (Thermo, FH62C-14). Water-soluble ionic components (WSIC) such as  $NH_4^+$ ,  $NO_3^-$  and  $SO_4^{2-}$  in  $PM_{2.5}$  were measured by MARGA (Monitor for AeRosols and GAses in Ambient Air). Gaseous pollutants ( $SO_2$ ,  $NO$ – $NO_2$ – $NO_x$ ,  $O_3$ ,  $CO$ ) were detected by an  $SO_2$  Analyzer (Ecotech, EC9580B),  $NO$ – $NO_2$ – $NO_x$  Analyzer (Thermo scientific 42i),  $O_3$  Analyzer (Ecotech, EC9810B) and  $CO$  Analyzer (Ecotech, EC9830B), respectively. The aerosol scattering coefficient at dry conditions  $b_{sp}$  and aerosol absorption coefficient  $b_{ap}$  were measured by an Integrating Nephelometer (Aurora 3000) and Aethalometer (Magee AE31), respectively. It should be noted that the wavelengths of  $b_{sp}$  and  $b_{ap}$  were different, thus,  $b_{sp}$  and  $b_{ap}$  values at different wavelengths were fitted by a power-law equation and then  $b_{sp}$  and  $b_{ap}$  values at 550 nm could be calculated (Liu et al., 2012). In addition, atmospheric visibility was measured by a visibility sensor (Belfort Model 6000). Elemental carbon (EC) and organic carbon (OC) were monitored by a semi-continuous OC/EC analyzer (RT-4 model, Sunset Laboratory Inc.) equipped with a  $PM_{2.5}$  cyclone and an upstream parallel-plate organic denuder (Sunset Laboratory Inc.). Meteorological parameters including RH (relative humidity) were monitored by an automatic meteorological station (Metone). All of the instruments mentioned above were calibrated according to their manufacturers' manuals.

**Table 1 – Overview of instruments involved in this study.**

Instrument	Parameter	Manufacturer model	Calibration	Resolution	Wavelength (nm)
Particulate monitor	PM <sub>1.0</sub> /PM <sub>2.5</sub> /PM <sub>10</sub>	Thermo FH 62C-14	Zero, span check every month	5 min	/
SO <sub>2</sub> analyzer	SO <sub>2</sub>	Ecotech EC9580B	Zero, span check every two weeks	5 min	/
NO–NO <sub>2</sub> –NO <sub>x</sub> analyzer	NO–NO <sub>2</sub> –NO <sub>x</sub>	Thermo scientific 42i	Zero, span check every two weeks	5 min	/
O <sub>3</sub> analyzer	O <sub>3</sub>	Ecotech EC9810B	Zero, span check every two weeks	5 min	/
CO analyzer	CO	Ecotech EC9830B	Zero, span check every two weeks	5 min	/
RH sensor	RH	Metone	/	1 min	/
Visibility sensor	Visibility	Belfort Model 6000	Zero, span check every six months	1 min	/
Integrating nephelometer	Aerosol scattering coefficient	Aurora3000	Zero check every day; Span check every week by CO <sub>2</sub>	5 min	450/525/635
MARGA	NH <sub>4</sub> <sup>+</sup> , NO <sub>3</sub> <sup>-</sup> and SO <sub>4</sub> <sup>2-</sup>	ADI 2080	IC calibration before and after the campaign	30 min	/
Semi-continuous OC/EC analyzer	OC/EC mass concentration	Sunset. RT4	Zero, span check every two weeks	1 hr	/
Aethalometer	Black carbon	Magee AE31	Zero check every week	5 min	370/470/520/590/660/880/950

The light extinction coefficient,  $b_{\text{ext}}$ , which is wavelength dependent, can be expressed by Eq. (1) as the sum of scattering ( $b_{\text{scat}}$ ) and absorption ( $b_{\text{abs}}$ ) by gases and particles.

$$b_{\text{ext}} = b_{\text{scat}} + b_{\text{abs}} = b_{\text{sg}} + b_{\text{sp}} + b_{\text{ag}} + b_{\text{ap}} \quad (1)$$

where,  $b_{\text{scat}}$  is the sum of scattering by gases  $b_{\text{sg}}$  and particles  $b_{\text{sp}}$ , and  $b_{\text{abs}}$  is the sum of absorption by gases  $b_{\text{ag}}$  and particles  $b_{\text{ap}}$ .  $b_{\text{sg}}$  is referred to as Rayleigh scattering ( $10 \text{ Mm}^{-1}$  at ground level), and  $b_{\text{sp}}$ , which is the largest contributor to total light extinction in most areas (Chan et al., 1999; Liu et al., 2013b), is caused by both fine and coarse particles.  $b_{\text{ag}}$  is mainly due to absorption of nitrogen dioxide (NO<sub>2</sub>), while  $b_{\text{ap}}$  is primarily caused by EC particles.

The approach used in the IMPROVE (The Interagency Monitoring of Protected Visual Environments) program to estimate light extinction for aerosol components assumes externally mixed aerosols. The reconstructed  $b_{\text{ext}}$  can then be calculated from the mass concentrations of the aerosol

components by Eq. (2), based on the original IMPROVE algorithm (Malm and Hand, 2007).

$$b_{\text{ext}} = 3f(\text{RH}) \times [(\text{NH}_4)_2\text{SO}_4] + 3f(\text{RH}) \times [\text{NH}_4\text{NO}_3] + 4 \times [\text{POM}] + 10 \times [\text{EC}] + 1 \times [\text{FineSoil}] + 0.6 \times [\text{Coarses}] + 0.161 \times [\text{NO}_2] + 10 \quad (2)$$

$$[(\text{NH}_4)_2\text{SO}_4] = 0.944 \times [\text{NH}_4^+] + 1.02 \times [\text{SO}_4^{2-}] \quad (3)$$

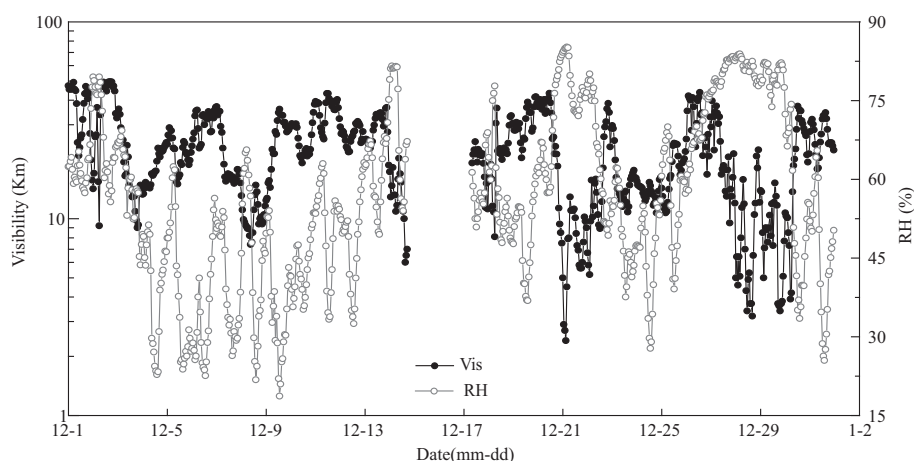
$$[\text{NH}_4\text{NO}_3] = 1.29 \times [\text{NO}_3^-] \quad (4)$$

$$[\text{POM}] = 1.6 \times [\text{OC}] \quad (5)$$

$$[\text{FineSoil}] = 2.49 \times [\text{Si}] + 2.2 \times [\text{Al}] + 2.42 \times [\text{Fe}] + 1.63 \times [\text{Ca}] + 1.94 \times [\text{Ti}] \quad (6)$$

$$[\text{CoarseMass}] = \text{PM}_{10} - \text{PM}_{2.5} \quad (7)$$

Eq. (2) includes a constant  $10 \text{ Mm}^{-1}$ , which denotes the Rayleigh scattering of clear air (Malm and Hand, 2007). The light extinction coefficients of atmospheric aerosol are expressed in

**Fig. 1 – Time series of visibility and relative humidity (RH) in Shanghai during the whole campaign.**

$\text{Mm}^{-1}$ . The chemical composition concentrations shown in brackets are in units of  $\mu\text{g}/\text{m}^3$ . Dry efficiency terms are in units of  $\text{m}^2/\text{g}$ ; and the hygroscopic growth terms,  $f(\text{RH})$ , are unitless. EC is referred to as light-absorbing carbon ( $b_{\text{ap}} = 10[\text{EC}]$ ), and the

mass extinction coefficient of hygroscopic aerosol implies a RH-dependent scaling factor ( $f(\text{RH})$ ), which represents the relationship between RH and the scattering efficiency. Eqs. (3) and (4) assume that  $\text{SO}_4^{2-}$  and  $\text{NO}_3^-$  are fully neutralized by  $\text{NH}_4^+$ .

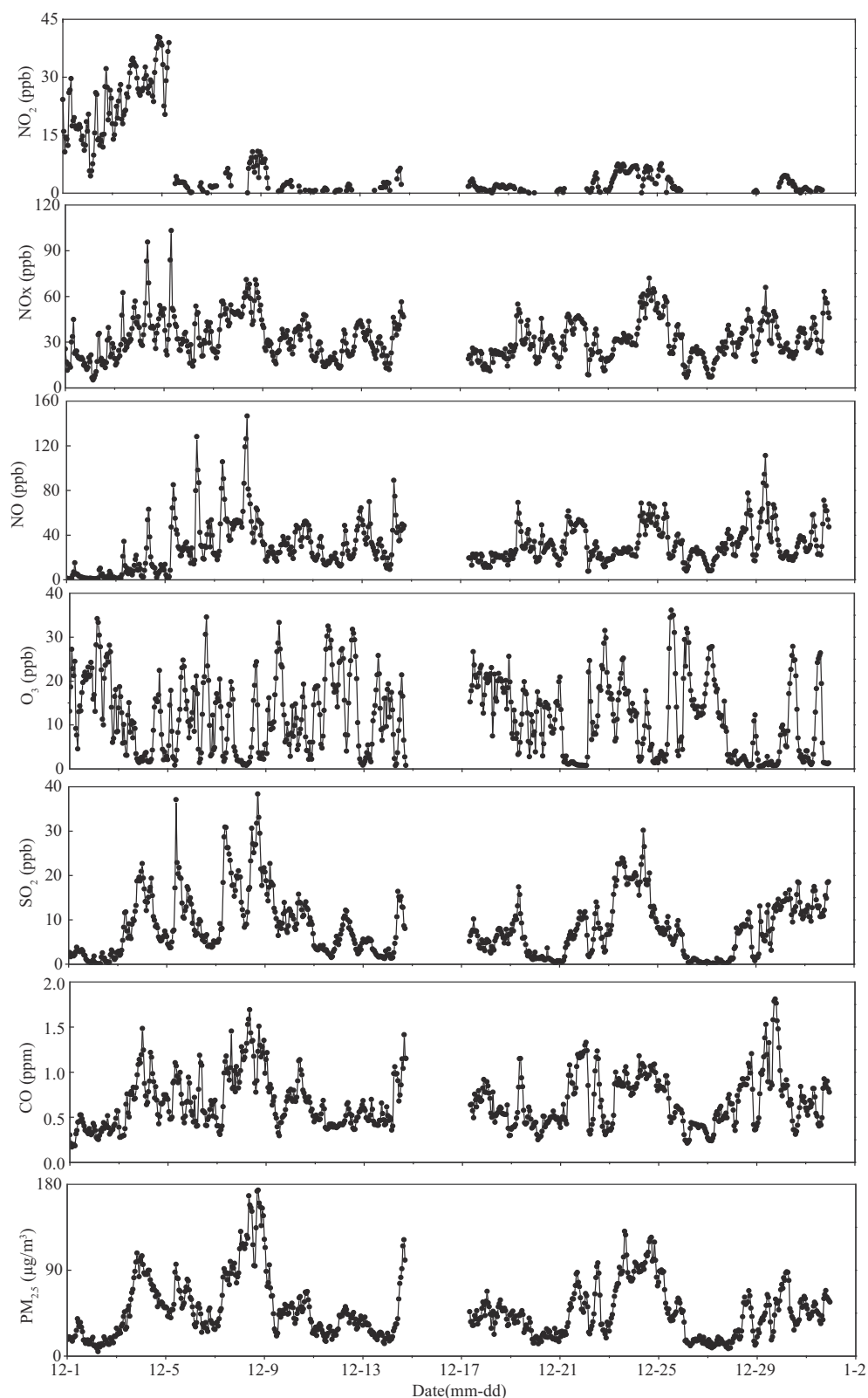


Fig. 2 – Time series of observed  $\text{NO}_2$ ,  $\text{NO}_x$ ,  $\text{NO}$ ,  $\text{O}_3$ ,  $\text{SO}_2$ ,  $\text{CO}$ , and  $\text{PM}_{2.5}$  in Shanghai during 1–31 December, 2012.

POM was estimated by multiplying the measured OC by a factor (1.6) to compensate for other atoms such as H, O and N in the organic molecules (Turpin and Lim, 2001).

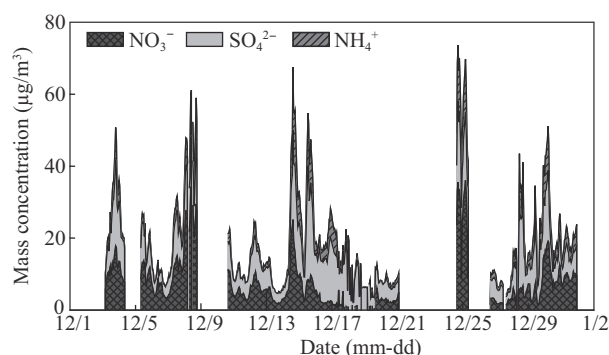
## 2. Results and discussion

### 2.1. Process of haze

#### 2.1.1. Temporal variations of gaseous pollutants and aerosol chemical compositions

Temporal distributions of visibility and RH in Shanghai are illustrated in Fig. 1. The relationship between visibility and RH is a negative correlation, that is, the visibility reaches the minimum value of the day in the middle of the night while RH reaches the maximum, and vice versa. According to the definition of haze (visibility < 10 km and RH < 90%) (Wu et al., 2006), there were seven haze episodes observed in Shanghai in the time periods of December 8 2:00–11:00, December 8 17:00–21:00, December 20 21:00–06:00, December 21 13:00–03:00, December 28 0:00–17:00, December 29 02:00–12:00 and December 29 17:00–06:00, and the other days during the campaign could be classified as non-haze days. The hazy time accounted for 11.4% of the whole campaign. Temporal variations of the measured NO<sub>2</sub>, NO<sub>x</sub>, NO, O<sub>3</sub>, SO<sub>2</sub>, CO, and PM<sub>2.5</sub> from 1–31 December 2012 are depicted in Fig. 2. Generally, the concentrations of air pollutants NO<sub>2</sub>, NO<sub>x</sub>, NO, SO<sub>2</sub>, CO, and PM<sub>2.5</sub> had an increasing trend during the haze episodes. The pollutants SO<sub>2</sub>, NO<sub>2</sub> and CO, being the emissions from fuel and coal burning, had the same increasing trend from 1–9 December, with maximum instantaneous values of 38.4, 40.5 ppb and 1.8 ppm, respectively. The mass loading of PM<sub>2.5</sub> gradually accumulated, and its instantaneous value reached 173.8 µg/m<sup>3</sup> at LST 18:00 of 8 December with an averaged value of 75.5 µg/m<sup>3</sup> during the haze episode, which was nearly two times as high as the daily limit (35 µg/m<sup>3</sup>) of the USA Ambient Air Quality Standard. O<sub>3</sub>, which is formed by photochemical reactions between volatile organic compounds (VOCs) and nitrogen oxides (NO<sub>x</sub>) in the presence of heat and sunlight (Seinfeld and Pandis, 2006), showed a cyclical variation and reached a maximum value at noon.

Time series of the mass concentrations of SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> are shown in Fig. 3. The average values of SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> were 10.3, 11.7 and 6.7 µg/m<sup>3</sup> during the haze episodes in Shanghai, which all exceeded the averages (9.2, 7.9, and



**Fig. 3 – Time series of NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup> mass concentrations in Shanghai during 1–31 December, 2012.**

3.4 µg/m<sup>3</sup>) of these components during the non-haze days (Table 2). Du et al. (2011) reported the corresponding values of SO<sub>4</sub><sup>2-</sup> (28.7 µg/m<sup>3</sup>), NO<sub>3</sub><sup>-</sup> (32.9 µg/m<sup>3</sup>) and NH<sub>4</sub><sup>+</sup> (19.3 µg/m<sup>3</sup>) during the haze events in Shanghai from May 27 to June 16, 2009. The results of this study are also smaller than those reported from urban Beijing (49.8, 31.4 and 25.8 µg/m<sup>3</sup>) from August 18 to September 8, 2006 on the campus of Peking University (Han et al., 2004c).

#### 2.1.2. Temporal variations of atmospheric optical properties

Temporal variations of the optical properties of aerosols in Shanghai from December 1 to 31 are depicted in Fig. 4. The atmospheric aerosol scattering coefficient  $b_{sp}$ , aerosol absorption coefficient  $b_{ap}$ , and single scattering albedo  $\omega$  at 550 nm during the whole campaign in Shanghai were 288.7 (186.3), 27.7 (17.6) and 0.91 (0.04) Mm<sup>-1</sup>, expressed as mean and standard deviation (S.D.), respectively. Generally, aerosol optical parameters in this study were lower in magnitude than those at other sites in China. Li et al. (2007) reported  $b_{sp} = 468 \pm 472$  Mm<sup>-1</sup> and  $b_{ap} = 65 \pm 75$  Mm<sup>-1</sup> in March 2005 at the rural site Xianghe (~70 km southeast of Beijing). The results of this study are also smaller than those reported at urban Guangzhou ( $b_{sp,545} = 463 \pm 178$  and  $b_{ap,532} = 92 \pm 62$  Mm<sup>-1</sup>) (Andreae et al., 2008), but are similar to those from a study in Shanghai ( $b_{sp,532} = 293 \pm 206$  and  $b_{ap,532} = 66 \pm 47$  Mm<sup>-1</sup>) from 1 December 2010 to 31 March 2011 (Xu et al., 2012).

The single scattering albedo,  $\omega_{\lambda}$ , is the ratio of the scattering coefficient over the extinction coefficient at a given wavelength. Here,  $\omega$  has been calculated at  $\lambda = 550$  nm by Eq. (8).

$$\omega_{550} = \frac{b_{sp}}{b_{sp} + b_{ap}} \quad (8)$$

The single scattering albedo reflects the scattering power of the atmospheric particulate matter. Mean SSA was 0.91 during the experimental period, which indicated a higher proportion of scattering particulate matter at the urban site, similar to the values of 0.93 in Lin'an (Xu et al., 2002) and 0.88 in Gosan (Kim et al., 2004), but remarkably larger than 0.68 at the Pedregal site of Mexico City (Eidels-Dubovoi, 2002).

### 2.2. Diurnal variations

#### 2.2.1. Diurnal variations of gaseous pollutants and aerosol chemical compositions

Fig. 5 presents the diurnal variations of different species during the campaign. The major source of O<sub>3</sub> is photochemical reactions, and the concentrations of O<sub>3</sub> are closely connected with the solar radiation intensity (Seinfeld and Pandis, 2006). At night, the O<sub>3</sub> concentration in the atmosphere remained almost at zero, and the O<sub>3</sub> concentration began to rise slowly with the strengthening of solar radiation after sunrise. At 13:00 LST the concentration of O<sub>3</sub> reached the peak of the day. Subsequently, the O<sub>3</sub> concentration showed a slow downward trend. A bi-peak distribution was observed for the mass concentrations of CO, NO<sub>2</sub>, NO<sub>x</sub> and NO as shown in Fig. 5. For all of these four species, the two peaks appeared at morning (7:00–8:00 LST) and nightfall (17:00–18:00 LST), respectively. The emergence of the two peaks was mainly attributed to the combustion of fossil fuels during



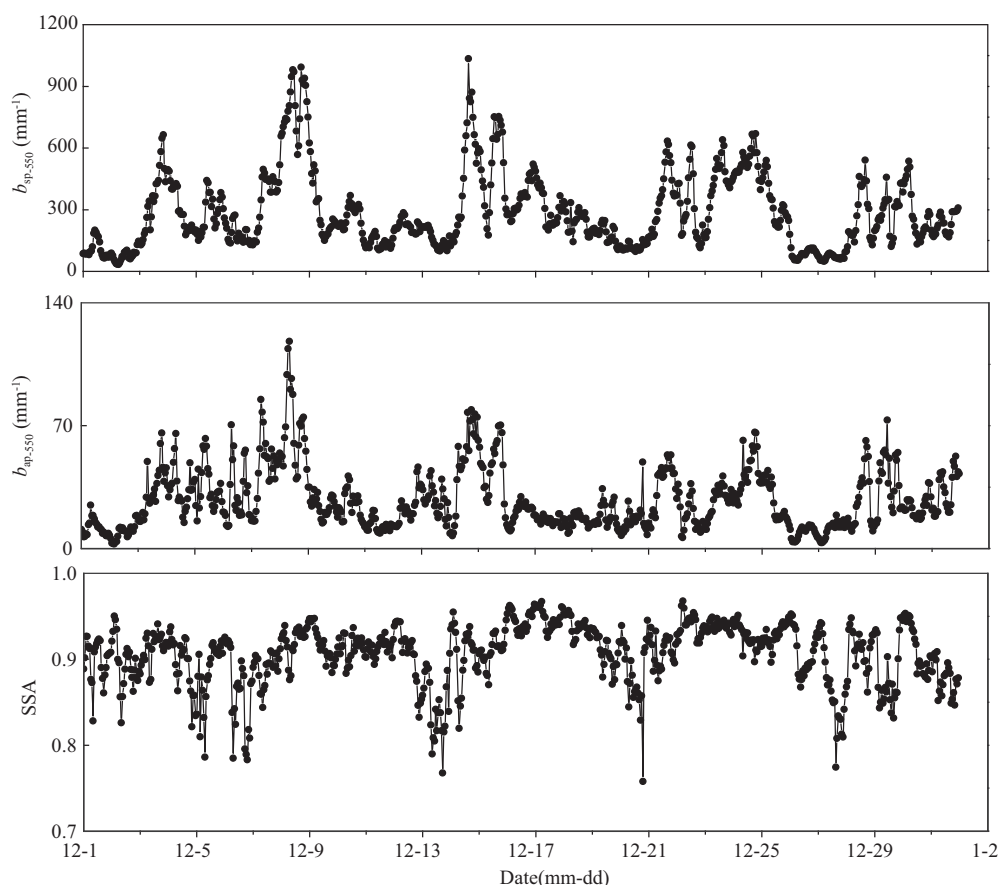
**Table 2 – Statistical summary of chemical species, visibility and RH during the non-haze days and haze days in Shanghai.**

Index	Start time	Duration (hour)	Visibility (km)	RH (%)	PM <sub>2.5</sub> (μg/m <sup>3</sup> )	NO <sub>3</sub> <sup>-</sup> (μg/m <sup>3</sup> )	SO <sub>4</sub> <sup>2-</sup> (μg/m <sup>3</sup> )	NH <sub>4</sub> <sup>+</sup> (μg/m <sup>3</sup> )	NO (ppb)	NO <sub>x</sub> (ppb)	SO <sub>2</sub> (ppb)	CO (ppm)	O <sub>3</sub> (ppb)
Haze days	2012-12-8 02:00	10	8.7	57.9	131.2	28.0	12.7	9.1	86.2	58.2	14.9	1.4	2.4
	2012-12-8 17:00	5	9.7	39.7	160.0	28.5	15.5	11.8	54.3	62.2	28.0	1.3	3.0
	2012-12-20 21:00	10	5.9	84.2	22.2	3.4	4.4	1.2	22.1	22.4	1.1	0.5	10.3
	2012-12-21 13:00	15	7.3	76	64.2	/	/	/	47.5	41.7	9.0	1.1	1.1
	2012-12-28 00:00	18	6.5	82.3	37.5	4.7	13.0	4.9	40.9	33.7	6.8	0.8	2.0
	2012-12-29 02:00	11	8.4	79.6	44.9	4.5	11.3	4.5	68.1	45.4	7.4	1.1	1.1
	2012-12-29 17:00	14	6.1	75.7	68.5	13.0	15.5	8.4	34.1	32.9	13.4	1.2	4.6
Average			7.5	70.8	75.5	11.7	10.3	6.7	50.5	42.4	11.5	1.1	3.5
Non-haze days			22.2	56.4	49.1	7.9	9.2	3.4	19.9	32.2	8.7	0.7	11.7

the morning and evening traffic rush hours. After the morning rush hour, the CO, NO<sub>2</sub>, NO<sub>x</sub> and NO concentrations showed slow downward trends, which probably was the result of strong atmospheric mixing and dilution due to the elevated height of the atmospheric planetary boundary layer (PBL). After sunset (18:00 LST), the formation of a stable nocturnal PBL in atmospheric inversion led to a low atmospheric diffusion ability, and thus resulted in high concentrations throughout the night. The diurnal variations of CO, NO<sub>2</sub>, NO<sub>x</sub> and NO were very similar, which indicated that these gaseous pollutants may come from the same sources. The concentration of SO<sub>2</sub> reached a maximum at 9:00 LST and then maintained at a relatively constant level.

The diurnal variations of SNA (sulfate, nitrate, and ammonium) are presented in Fig. 6. Following the increasing solar

radiation during daytime, the photochemistry was more active and therefore produced more sulfate during daytime than in the evening. The diurnal variation of sulfate showed two peaks at 15:00 LST. The mass concentration of ammonium kept at a lower level before 12:00 LST, then achieved a small peak at 12:00 LST. The time when it reached the second peak was 17:00 LST, and the maximum of daily-averaged mass concentration of NH<sub>4</sub><sup>+</sup> was 4.5 μg/m<sup>3</sup>. As shown in Fig. 6c, nitrate showed little hourly variation, indicating that it was well mixed in the boundary layer. In addition, the nitrate showed lower concentrations in the afternoon and higher concentrations in the early morning, suggesting that boundary layer variation and gas-aerosol partitioning were the dominant control mechanisms for its diurnal patterns (Huang et al., 2012a,2012b).



**Fig. 4 – Time series of the measured aerosol scattering coefficient  $b_{sp}$ , aerosol absorption coefficient  $b_{ap}$ , and single scattering albedo (SSA) in Shanghai during 1–31 December, 2012.**

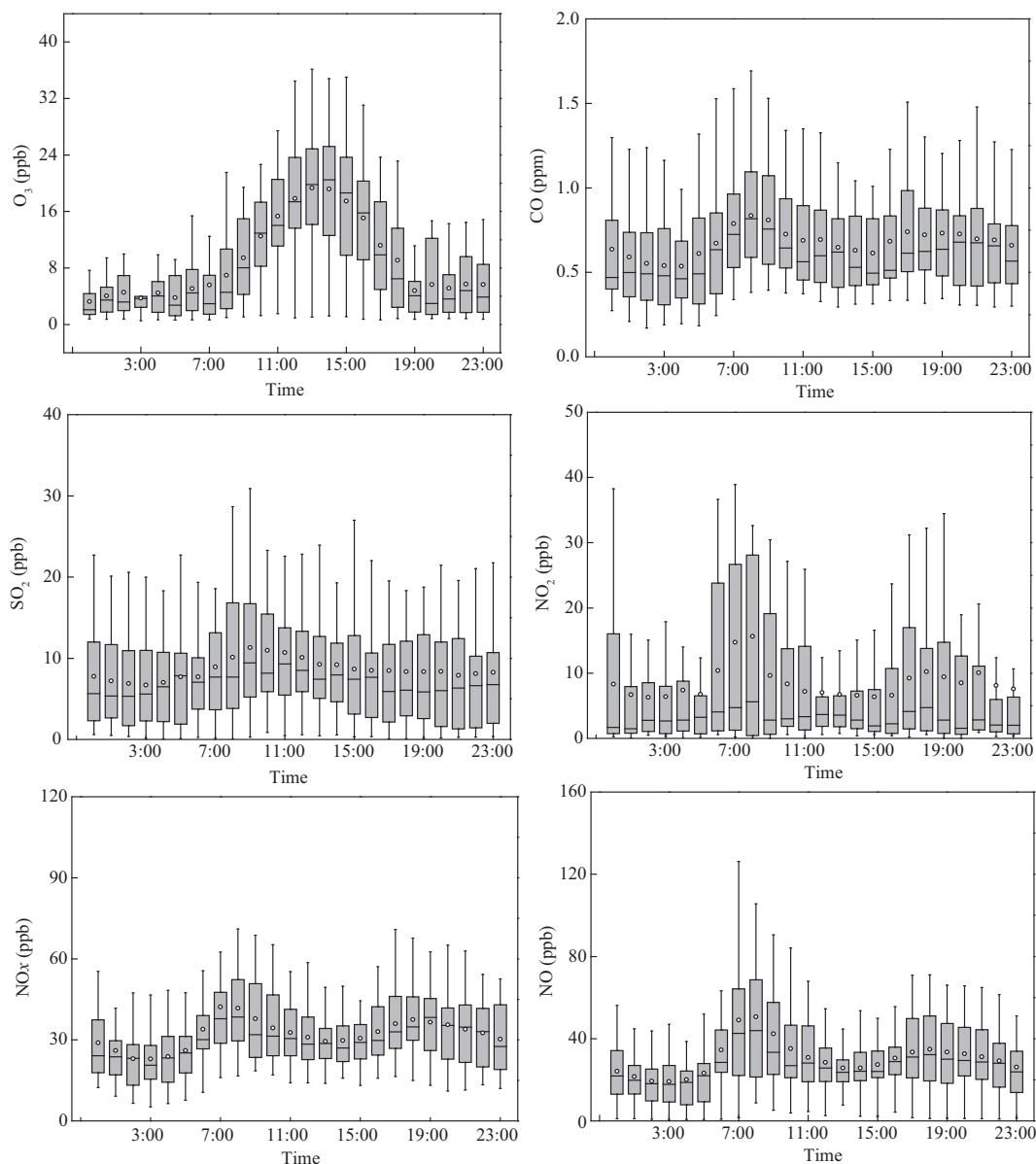


Fig. 5 – Diurnal variations of  $O_3$ ; CO;  $SO_2$ ;  $NO_2$ ;  $NO_x$  and NO in Shanghai. The dot is the mean value, the horizontal line in the box is the median, the limits of the boxes are the 25th percentile and 75th percentile, and the vertical lines extend to 5th and 95th percentiles for each 1-hr period after the indicated start time.

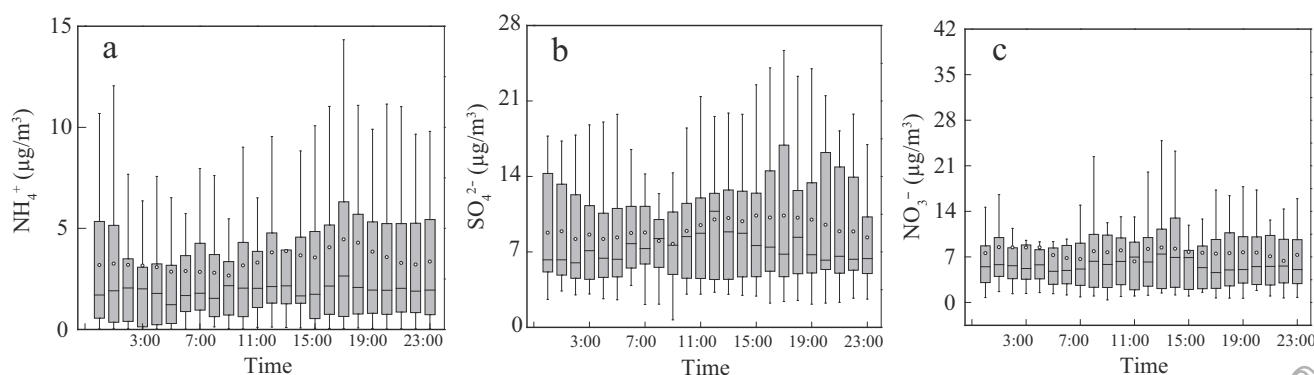


Fig. 6 – Diurnal variations of (a)  $NH_4^+$ , (b)  $SO_4^{2-}$ , and (c)  $NO_3^-$  mass concentrations in Shanghai. Symbols are analogous to Fig. 5.

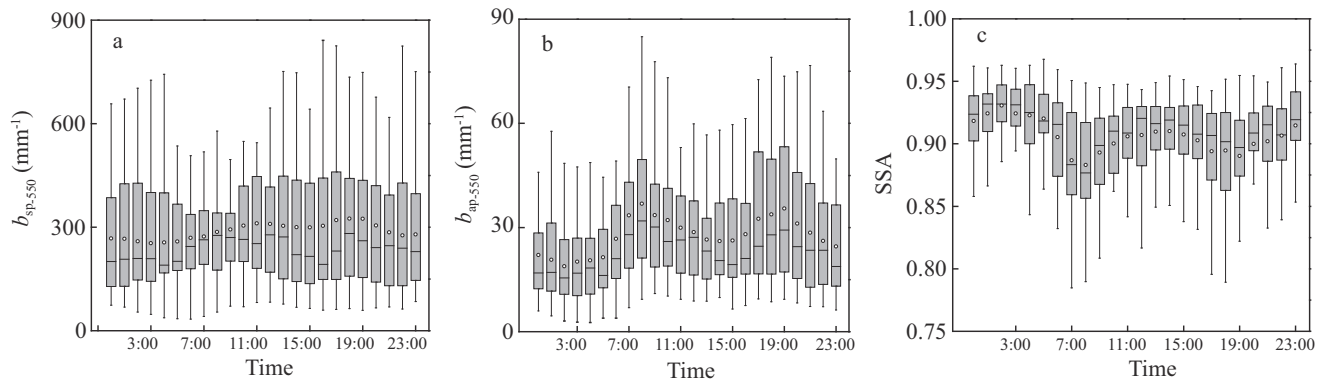


Fig. 7 – Diurnal variations of (a)  $b_{sp}$ ; (b)  $b_{ap}$  and (c) SSA (single scattering albedo) in Shanghai. Symbols are analogous to Fig. 5.

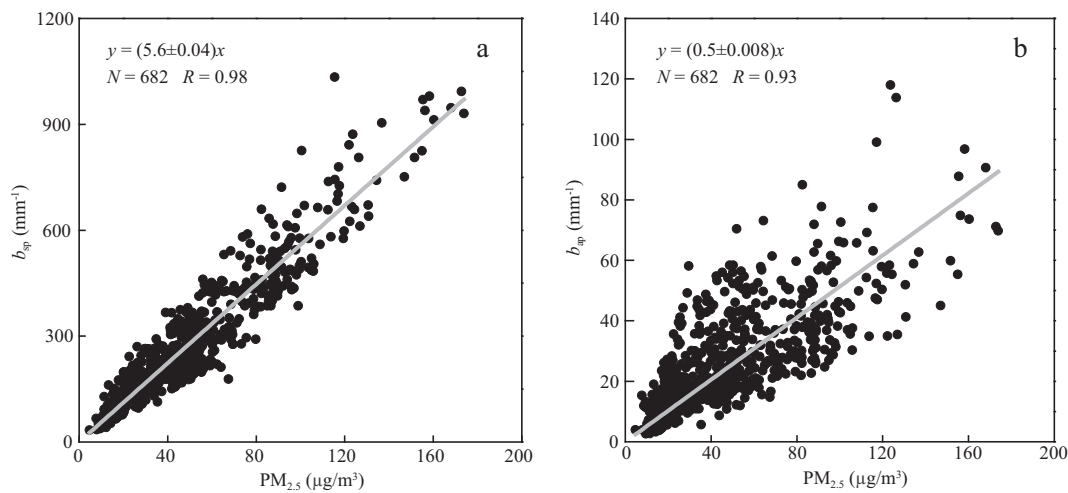


Fig. 8 – Relationship between the mass concentration of  $PM_{2.5}$  and (a)  $b_{sp}$ ; (b)  $b_{ap}$  in Shanghai.

**2.2.2. Diurnal variations of atmospheric optical properties**  
Fig. 7 shows diurnal variation of  $b_{sp}$  and  $b_{ap}$  as well as SSA based on hourly averages. There were obvious bi-peak diurnal patterns for all variables. As is clear in Fig. 7, the diurnal variation of  $b_{sp}$  showed two peaks, which occurred at 11:00 LST and 18:00 LST. For  $b_{sp}$ , peak values were 310.9 and 324.6  $Mm^{-1}$ . The scattering coefficient  $b_{sp}$  showed a decrease between 11:00 LST and 15:00 LST, which might be due to the increase of the height of the PBL. Fig. 7b displays the diurnal cycle for  $b_{ap}$ , which was even more pronounced than that of dry  $b_{sp}$ . Higher values (36.8  $Mm^{-1}$ ) of the absorption coefficient appeared at 8:00 LST, mainly attributed to the severe emission of light-absorbing pollutants during the morning traffic rush hours. Both the mean and median values were enhanced at night, with a maximum hourly mean value of 35.5  $Mm^{-1}$  (19:00 LST). The mean and median values then fell between 19:00 and 08:00 the next day, with a minimum hourly mean value of

18.8  $Mm^{-1}$  at 02:00 LST. As seen in Fig. 7c, the diurnal cycle observed for SSA is a mirror image of the  $b_{ap}$  cycle. The maximum hourly mean values (0.90–0.91) occurred during the daytime (10:00–14:00) and the minimum hourly mean values (0.87–0.88) occurred in the morning (07:00–08:00). The curve shape of the SSA is analogous to that measured at Beijing (Han et al., 2014c) and Guangzhou (Garland et al., 2008). That is, the shape of the SSA curve from sunrise to sunset is an arc, which should relate to the photochemical reactions and new particle formation in the air. This phenomenon is worthy of further study.

**2.3. Characteristics of aerosol optical properties and their interrelationship with chemical compositions**

Fig. 8a, b illustrates the relationship between  $b_{sp}$ ,  $b_{ap}$  and the mass concentration of  $PM_{2.5}$  in Shanghai during the whole

Table 3 – Statistical summary of mean f(RH) values for (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> and NH <sub>4</sub> NO <sub>3</sub> in selected relative humidity ranges.													
RH (%)	20–25	25–30	30–35	35–40	40–45	45–50	50–55	55–60	60–65	65–70	70–75	75–80	80–85
f(RH)	1.06	1.11	1.16	1.21	1.22	1.27	1.33	1.38	1.45	1.55	1.65	1.83	2.10

	85–90	>90
f(RH)	2.46	3.17

**Table 4 – Composite variables for particulate matter proposed by IMPROVE.**

Component	Specification	Mass calculation
(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	Ammonium sulfate	$0.944[\text{NH}_4^+] + 1.02[\text{SO}_4^{2-}]$ <sup>a</sup>
NH <sub>4</sub> NO <sub>3</sub>	Ammonium nitrate	$1.29[\text{NO}_3^-]$
OMC	Organic mass by carbon	$1.6[\text{OC}]$ <sup>b</sup>
<i>b</i> <sub>abs</sub>	Absorption coefficient	[EC]
CM	Coarse mass	[PM <sub>10</sub> ] – [PM <sub>2.5</sub> ]

<sup>a</sup> Malm and Day, 2001; Tao et al., 2009.<sup>b</sup> Turpin and Lim, 2001.

campaign, respectively. In Fig. 8a, *b*<sub>sp</sub> showed a strong and positive correlation with PM<sub>2.5</sub> mass concentration with a correlation coefficient of 0.98. As shown in Fig. 8b, *b*<sub>ap</sub> was also strongly correlated (*r* = 0.93) with PM<sub>2.5</sub> mass concentration. MSE is aerosol mass scattering efficiency, and MAE is aerosol mass absorption efficiency, being the ratio of the measured aerosol scattering coefficient and absorption coefficient to the mass concentration of particulate matter, respectively. In this study, the slopes of the regression lines can be regarded as the average mass scattering/absorption efficiency. It could be concluded from Fig. 8a and b that the mean values for MSE and MAE were 5.6 and 0.5 m<sup>2</sup>/g, respectively. The value of MSE was 3.2 m<sup>2</sup>/g and MAE 0.8 m<sup>2</sup>/g in Guangzhou during the 2006

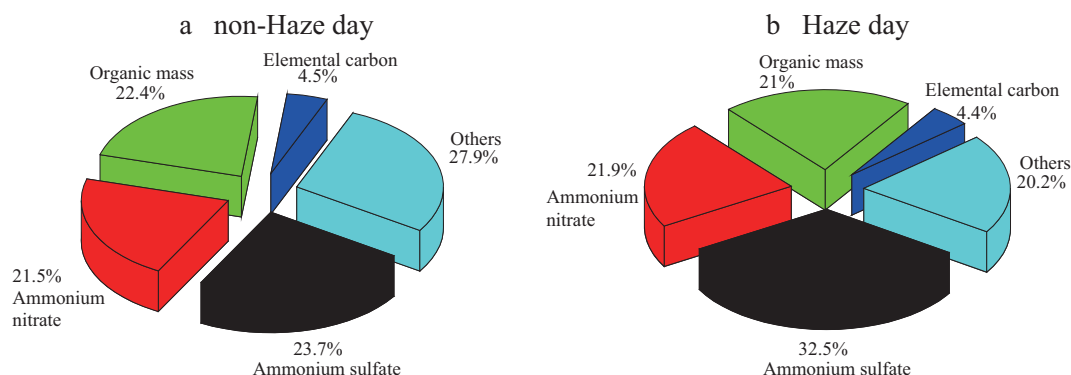
PRIDE-PRD campaign (Liu et al., 2012). Liu et al. (2013a) determined that the MSE and MAE for PM<sub>2.5</sub> were 3.97 and 0.62 m<sup>2</sup>/g in Beijing from October 24 to November 9, 2007.

Extinction by fine soil could be neglected from the standpoint of light extinction in summer (Liu et al., 2009; Han et al., 2014c). Thus, the modified IMPROVE algorithm is presented as Eq. (9).

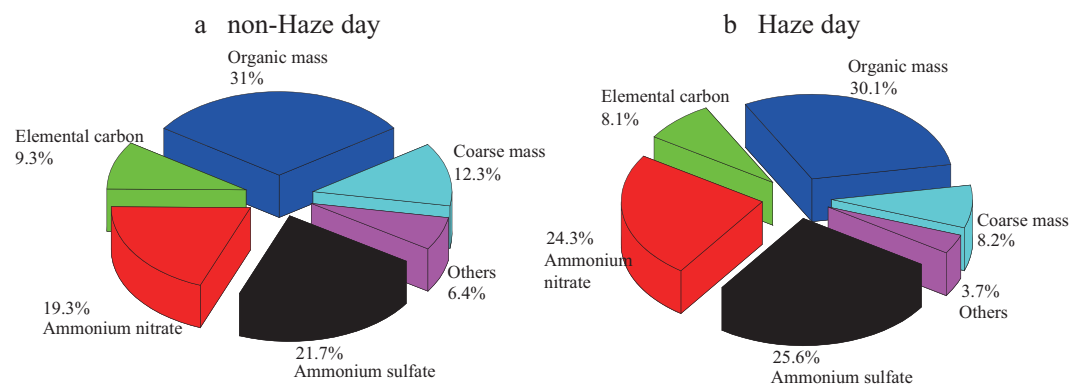
$$b_{\text{ext}} \approx 3f(\text{RH}) \times [(\text{NH}_4)_2\text{SO}_4] + 3f(\text{RH}) \times [\text{NH}_4\text{NO}_3] + 4 \times [\text{POM}] \quad (9) \\ + 10 \times [\text{EC}] + 0.6 \times [\text{CoarseMass}] + 10$$

Table 3 summarizes different *f*(RH) values for (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NH<sub>4</sub>NO<sub>3</sub> in selected relative humidity ranges (Malm and Day, 2001; Liu et al., 2012; Han et al., 2014c). Table 4 lists the composite variables for particulate matter proposed by IMPROVE. According to Eqs. (3), (4), (5), (7) and (9), the calculated *b*<sub>ext</sub> can be derived.

Fig. 9 signifies the averaged mass proportion of chemical components in PM<sub>2.5</sub> during the campaign for non-haze and haze days. Ammonium sulfate, ammonium nitrate, organic mass and elemental carbon accounted for 23.7%, 21.5%, 22.4% and 4.5% of the mass concentration of PM<sub>2.5</sub> during non-haze days; the corresponding values were 32.5%, 21.9%, 21% and 4.4% during hazy days. Ammonium sulfate, ammonium nitrate and POM accounted for 20.2%, 11.2% and 15.8% of the mass concentration of PM<sub>10</sub> during clean days, and 34.0%, 19.1% and 13.9% during hazy days in Beijing (Han et al., 2014c);



**Fig. 9 – The averaged mass proportion of the chemical components in PM<sub>2.5</sub> under (a) non-haze days, and (b) haze days in Shanghai.**



**Fig. 10 – Averaged fractional contributions to extinction coefficient by chemical components under (a) non-haze days, and (b) haze days in Shanghai.**



while as depicted in Fig. 10, ammonium sulfate, ammonium nitrate, organic mass, elemental carbon and coarse mass accounted for 21.7%, 19.3%, 31.0%, 9.3% and 12.3% of the total extinction coefficient during non-haze days, and 25.6%, 24.3%, 30.1%, 8.1% and 8.2% during hazy days. As shown in Fig. 10, organic mass was the largest contributor to  $b_{\text{ext}}$ , which was comparable to the value of Xiamen (39.5%) (Zhang et al., 2012). The results implied that organic mass was the largest contributor to visibility degradation in Shanghai. However, ammonium sulfate was the largest contributor to visibility degradation in Hong Kong (Cheung et al., 2005), Jinan (Yang et al., 2007), Beijing (Han et al., 2014c) and Guangzhou (Tao et al., 2009) in China and in the eastern United States (Watson, 2002). Meanwhile, the contribution proportions of ammonium sulfate and ammonium nitrate to light extinction were significantly higher during the hazy time than during the non-haze days, while the contribution proportions of organic mass, elemental carbon and coarse mass to  $b_{\text{ext}}$  were lower during the hazy time than during the non-haze days.

### 3. Conclusions

A field campaign was carried out from December 1 to 31, 2012, wherein aerosol optical and physical properties as well as aerosol chemical components were measured simultaneously. In this study, we analyzed the characteristics of the aerosol optical properties and explored their relationships to the changes in chemical components.

The hazy time accounted for 11.4% of the whole campaign. The concentrations of the air pollutants  $\text{NO}_2$ ,  $\text{NO}_x$ ,  $\text{NO}$ ,  $\text{SO}_2$ ,  $\text{CO}$ , and  $\text{PM}_{2.5}$  had an increasing trend during the haze episodes. The mass loading of  $\text{PM}_{2.5}$  gradually accumulated, and its instantaneous value reached  $173.8 \mu\text{g}/\text{m}^3$ . The average values of  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$  and  $\text{NH}_4^+$  during the haze episodes all exceeded the average values of these components during the non-haze days. The atmospheric aerosol scattering coefficient  $b_{\text{sp}}$ , aerosol absorption coefficient  $b_{\text{ap}}$ , and single scattering albedo  $\omega$  at 550 nm during the whole campaign were 288.7 (186.3), 27.7 (17.6) and 0.91 (0.04)  $\text{Mm}^{-1}$ , respectively.

A bi-peak distribution was observed for the mass concentrations of  $\text{CO}$ ,  $\text{NO}_2$ ,  $\text{NO}_x$  and  $\text{NO}$ . Because of the more active photochemistry, more sulfate was produced during daytime than in the evening. The mass concentration of ammonium achieved a small peak at 12:00 LST. The time when it reached the second peak was 17:00 LST. The diurnal variations of nitrate showed little hourly variation, with lower concentrations in the afternoon and higher concentrations in the early morning. There were obvious bi-peak diurnal patterns for  $b_{\text{sp}}$ ,  $b_{\text{ap}}$  as well as SSA. The diurnal cycle for  $b_{\text{ap}}$  was even more pronounced than that of dry  $b_{\text{sp}}$ . Higher values of the  $b_{\text{ap}}$  appeared at 8:00 LST. The diurnal cycle observed for SSA is a mirror image of the  $b_{\text{ap}}$  cycle.

$b_{\text{sp}}$  and  $b_{\text{ap}}$  showed strong and positive correlations with  $\text{PM}_{2.5}$  mass concentration. Ammonium sulfate, ammonium nitrate, organic mass, elemental carbon and coarse mass accounted for 21.7%, 19.3%, 31.0%, 9.3% and 12.3% of the total extinction coefficient during non-haze days, and 25.6%, 24.3%, 30.1%, 8.1% and 8.2% during hazy days. Organic mass was the largest contributor to  $b_{\text{ext}}$  in Shanghai. The contribution

proportions of ammonium sulfate and ammonium nitrate to  $b_{\text{ext}}$  were significantly higher during the hazy time than during the non-haze days, while the contribution proportions of organic mass, elemental carbon and coarse mass to  $b_{\text{ext}}$  were contrary.

### Acknowledgments

This work was supported by the Ministry of Science and Technology of China (No. 2013CB955804), the National Natural Science Foundation of China (Nos. 41175018, 41475113), and the Ministry of Environmental Protection of China (Nos. 201209001, 201409008, 201209007).

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## Journal of Environmental Sciences (Established in 1989) Volume 27 2015

<b>Supervised by</b>	Chinese Academy of Sciences	<b>Published by</b>	Science Press, Beijing, China
<b>Sponsored by</b>	Research Center for Eco-Environmental Sciences, Chinese Academy of Sciences		Elsevier Limited, The Netherlands
<b>Edited by</b>	Editorial Office of Journal of Environmental Sciences P. O. Box 2871, Beijing 100085, China Tel: 86-10-62920553; <a href="http://www.jesc.ac.cn">http://www.jesc.ac.cn</a> E-mail: <a href="mailto:jesc@rcees.ac.cn">jesc@rcees.ac.cn</a>	<b>Distributed by</b>	
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<b>Editor-in-chief</b>	X. Chris Le	<b>Printed by</b>	Beijing Beilin Printing House, 100083, China

CN 11-2629/X Domestic postcode: 2-580

Domestic price per issue RMB ¥ 110.00

ISSN 1001-0742



9 771001 074154